

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF NORTH CAROLINA

|                            |   |                         |
|----------------------------|---|-------------------------|
| GREGORY KATZ,              | ) |                         |
|                            | ) |                         |
| Plaintiff,                 | ) |                         |
|                            | ) |                         |
| v.                         | ) | CASE NO: 7:18-CV-47-FL  |
|                            | ) | SOUTHERN DIVISION       |
| TIMOTHY CAPPS and KACIE    | ) |                         |
| HOPKINS VAN HINE,          | ) |                         |
|                            | ) |                         |
| Defendants.                | ) |                         |
|                            | ) |                         |
| TIMOTHY CAPPS,             | ) |                         |
|                            | ) |                         |
| Plaintiff,                 | ) |                         |
|                            | ) |                         |
| v.                         | ) | CASE NO: 5:18-CV-133-FL |
|                            | ) | WESTERN DIVISION        |
| MONTY HARRIS, NEWMARK &    | ) |                         |
| COMPANY REAL ESTATE, INC., | ) |                         |
| NEWMARK SOUTHERN REGION,   | ) |                         |
| LLC and DOES 1-25,         | ) |                         |
|                            | ) |                         |
| Defendants.                | ) |                         |
|                            | ) |                         |

**ORDER**

These cases have been assigned to the docket of Judge Louise W. Flanagan. This matter came before the court for Rule 16 telephonic status conference and hearing held on May 30, 2018. Timothy Capps and Kacie Hopkins Van Hine appeared through John P. Barry and Christopher J. Blake. Monty Harris and Gregory Katz appeared through Victor L. Hayslip. Newmark & Company Real Estate, Inc. and Newmark Southern Region, LLC (collectively “Newmark”) appeared through Jonathan D. Sasser and Taylor H. Crabtree. This order memorializes the court’s oral

pronouncements made on May 30, 2018 and embodies the court's initial order on planning and discovery for the above-captioned cases.

I. Preliminary Issues Considered At Hearing Yesterday

A. Defendant Capps' consented to motion to consolidate these case, DE 32 in case number 7:18-CV-47, is GRANTED.

B. Response time to defendant Newmark's motion to stay discovery, DE 33 in case number 5:18-CV-133, is STAYED to allow time for the parties to confer and submit to the court parties' joint report and plan in these now consolidated cases as discussed below.

C. Defendant Newmark is DIRECTED to file on or before **7 days** from entry of this order amended financial disclosures, if appropriate. Defendant Newmark is DIRECTED to file on or before **14 days** from entry of this order status report or opinion from the North Carolina State Bar as to whether Cantor Fitzgerald may continue as counsel of record in light of N.C. Gen. Stat. § 84-5 and relevant case law.

II. Rule 26(f) Conference<sup>1</sup>

Unless good cause is shown in request for exemption or stay, and the court orders otherwise, the Rule 26(f) conference must occur on or before **21 days** from entry of this order. The parties are jointly responsible for arranging the conference, which shall involve counsel for each represented party and all unrepresented parties, and for attempting in good faith to agree on a proposed plan for completion of discovery, filing of motions, pretrial and trial activities, and other matters addressed in this order.

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<sup>1</sup> The below tracks the court's standard initial order on planning and discovery, which as mentioned at conference yesterday, would follow and give the parties a deadline for submission of their report and suggested plan of 35 days, which report and plan in this case also will include commentary on styling of the case caption and designated lead case.

### III. Initial Disclosures

Mandatory initial disclosures required by Rule 26(a)(1) must be made within 14 days after the Rule 26(f) conference, unless (a) a different time is set by stipulation of parties or court order, or (b) a party objects during the Rule 26(f) conference and states the objection and the response thereto in the report and plan filed with the court. Absent a stipulation or court order, any party first served or otherwise joined after the conference must make these disclosures within 30 days after being served or joined. Failure to disclose information required by Rule 26(a) or 26(e)(1) may subject the offending party or parties to sanctions pursuant to Rule 37. In order to facilitate settlement negotiations and to avoid unnecessary expense, the parties may agree that, without any need for formal requests for production, copies of the various documents and/or electronically stored information described in the parties' respective Rule 26(a)(1) disclosures shall be exchanged or made available for inspection and copying by a date certain.

### IV. Joint Report and Plan

The report and plan shall be filed with the court within 14 days after the conference. The report and plan shall inform of the date on which the Rule 26(f) conference took place, and persons participating therein. The following must be considered at the Rule 26(f) conference and included in the report and plan:

- A. The parties' proposed case caption and case number;

- B. Discussion of the nature and complexity of the case.<sup>2</sup> This shall be presented in the form of a brief statement which contains information from all parties and presents their respective positions including:
1. The asserted basis for the court's subject matter jurisdiction;
  2. The most important or key factual issues and legal issues that are believed to be involved in the case; and
  3. A breakdown of damages sought.
- C. Specific information concerning the parties' plan for proposed discovery, including:
1. The subjects on which discovery may be needed;
  2. Whether discovery shall be conducted in phases and/or limited to or focused upon particular issues, including, as discussed in the Rule 16 telephonic status conference held on May 30, 2018, the way in which discovery may proceed without prejudice to defendant Newmark;
  3. The categories of discovery to be utilized by the parties, and proposed limitations. Where any proposed limitation is different from that imposed by the Federal Rules of Civil Procedure and/or the court's Local Rules, specific reason therefor shall be set forth;

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<sup>2</sup> Routine cases generally subscribe to a track which permits disposition within 12 to 14 months after the filing of the complaint, where parties are joined within two months after conference, any amendments to pleadings as of right or subject to motion are made within two months, initial motions to dismiss are made within three months after conference, all discovery is completed within six to eight months after conference, and dispositive motions filed within one month after the close of discovery, also in accordance with Local Civil Rule 7.1(a). A complex case subscribes to an extended track, taking into consideration large numbers of parties, large numbers of claims and defenses, highly technical issues and proof, multiple experts, greater than normal amounts of documents and evidence, and/or necessity for an extended period of discovery. A complex case contemplates trial within 16 to 18 months of the filing of the complaint.

4. Whether there are any issues relating to disclosure or discovery of electronically stored information, including issues as to the form(s) in which such information shall be produced;
  5. Whether there are any issues relating to claims of privilege or protection as trial-preparation material. The parties shall specify whether they wish to obtain by consent a court order memorializing the parties' agreement regarding 1) inadvertent disclosures, pursuant to Fed. R. Evid. 502(d); or 2) privilege log protocols, such as automated logs, exemptions for documents created after litigation commences, or criteria for expanded log entries. In the event the parties seek a court order regarding inadvertent disclosures or privilege logs, the parties shall propose consent order language in their joint report and plan.
  6. The date on which all discovery shall be concluded (not the last date of issuance; rather, this date sets the discovery bar date); and
  7. Whether any particular discovery problems are anticipated.
- D. Proposed deadlines in addition to the deadline for discovery mentioned above, including for:
1. Disclosure of expert witness information where appropriate;
  2. Joining additional parties;
  3. Amending pleadings; and
  4. Filing of all motions, including preliminary and dispositive ones (except motions in limine). The court shall be advised whether there are preliminary

matter(s) which may require early disposition.


- E. The possibility of settlement and the parties' information concerning which ADR method (mediation, arbitration, summary trial, or other) is appropriate together with the proposed deadline for its completion. Local Civil Rule 101; see Local Civil Rules 101.1, 101.2, 101.3 for alternative ADR procedures.
  - 1. Absent special considerations, a settlement procedure appropriate to a particular case shall be used in every civil action in advance of the final pretrial conference.
- F. The parties' position on whether a magistrate judge may conduct all proceedings, including trial and entry of judgment, pursuant to 28 U.S.C. 636(c) (including entry of final judgment, with any appeal directly to the Fourth Circuit Court of Appeals), and Local Rule 73.1. Answer Yes or No only. Where there is assent, this agreement shall require that each party through respective counsel and any party appearing pro se MUST sign the report and plan, as this constitutes the parties' consent to referral of the case to a full-time magistrate judge.
- G. Whether either party requests that a Rule 16(b) FED. R. CIV. P., pretrial conference be scheduled in the case, prior to entry by the court of its case management order.
- H. Absent request for a Rule 16(b) pretrial conference at this juncture in the case, a case management order shall be entered immediately after receipt and consideration of the parties' joint report and plan, and the issues raised in the pleadings. Case deadlines established among the terms of the order will not be altered by the court absent

extenuating circumstances.<sup>3</sup>

- I. If another case is pending of or relating to the instant matter, raising potential issues of consolidation or assignment, the report also shall provide notice of this. The parties shall identify the other case(s), and provide their position as to whether consolidation of the actions is or may become appropriate, and/or whether assignment now of related cases to a single judge is likely to result in administrative efficiencies.
- J. Any scheduling issue affecting counsel or a party. This report affords the opportunity to announce compelling personal or professional considerations, as appropriate, which may affect the scheduling or course of proceedings.

If the parties have made a good faith attempt to confer and submit a joint discovery plan, but have been unable to do so, the parties shall file separate plans within the allotted time period, each of which must include the parties' respective positions and information as would be included in a joint report.

SO ORDERED, this the 31st day of May, 2018.

  
LOUISE W. FLANAGAN  
United States District Judge

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<sup>3</sup> Upon decision by the court on any dispositive motion, a trial date certain will be set by separate order entered after opportunity is accorded the parties to inform more particularly on trial scheduling. Said supplemental case management order will instruct also on pretrial procedures necessary to be followed in a bench or jury trial. Ordinarily, a final pretrial conference will be set approximately two weeks prior to the start of trial.